## (19) World Intellectual Property Organization International Bureau



## 

# (43) International Publication Date 11 April 2002 (11.04.2002)

#### **PCT**

# (10) International Publication Number WO 02/028532 A3

(51)	International Patent Classification7:
	B01J 19/00

B01L 3/00,

- (21) International Application Number: PCT/US01/31333
- (22) International Filing Date: 5 October 2001 (05.10.2001)
- (25) Filing Language:

English

(26) Publication Language:

English

(30) Priority Data:

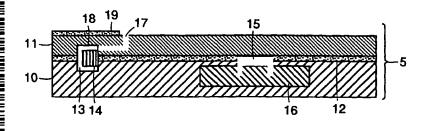
60/239,063	6 October 2000 (06.10.2000)	US
60/239,010	6 October 2000 (06.10.2000)	US
60/238,805	6 October 2000 (06.10.2000)	US
60/238,390	6 October 2000 (06.10.2000)	US

(71) Applicant (for all designated States except US): PROTA-SIS CORPORATION [US/US]; 734 Forest Street, Marlborough, MA 01752 (US).

- (72) Inventors; and
- (75) Inventors/Applicants (for US only): STRAND, David [US/US]; 734 Forest Street, Marlborough, MA 01752 (US). ANTOCCI, Joe [US/US]; 101 Old Farm Road, Leominster, MA 01453 (US). MYERS, Peter [GB/GB]; 19 Woodlea Close, Bromborough, Wirral L62 6DL (GB). MYERS, Tim [GB/GB]; 19 Woodlea Close, Bromborough, Wirral L62 6DL (GB). BARROW, David [GB/GB]; 61 Lake Road West, Roath Park, Cardiff CF2 35PH (GB). CEFAI, Joseph [GB/GB]; 14 Quarry Road, Swansea SA5 9DJ (GB).
- (74) Agents: MCDERMOTT, Peter, D. et al.; Banner & Witcoff, Ltd., 28th floor, 28 State Street, Boston, MA 02109-1775 (US).
- (81) Designated States (national): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, ES, FI, GB, GD, GE, GH,

[Continued on next page]

#### (54) Title: MICROFLUIDIC SUBSTRATE ASSEMBLY AND METHOD FOR MAKING SAME

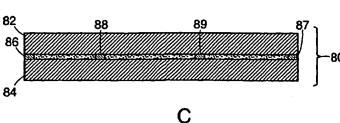


A 72 74 76

B

(57) Abstract: A novel microfluidic substrate assembly and method for making the same are disclosed. The substrate assembly comprises a multi-layer laminated substrate defining at least one fluid inlet port and at least one microscale fluid flow channel within the multi-layer substrate in fluid communication with the inlet port for transport of fluid. The substrate assembly may optionally comprise additional components and elements located within the substrate assembly or attached to the substrate assembly.







GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZW.

(84) Designated States (regional): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, TR), OAPI patent (BF, BJ, CF,

CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

#### Published:

- with international search report
- (88) Date of publication of the international search report: 6 February 2003

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

### INTERNATIONAL SEARCH REPORT

International Application No PCT/US 01/31333

a classif IPC 7	ROTION OF SUBJECT MATTER B01L3/00 B01J19/00		
According to	International Patent Classification (IPC) or to both national classification	on and IPC	
	SEARCHED		
Minimum doo IPC 7	currentation searched (classification system followed by classification $B01L$	symbols)	
Documentati	ion searched other than minimum documentation to the extent that suc	h documents are included in the field	s searched
Electronic da	ata base consulted during the international search (name of data base	and, where practical, search terms u	sed)
	ternal, WPI Data		
C. DOCUME	ENTS CONSIDERED TO BE RELEVANT		
Category °	Citation of document, with Indication, where appropriate, of the relev	ant passages	Relevant to claim No.
X	DE 39 15 920 A (MESSERSCHMITT BOE BLOHM) 22 November 1990 (1990-11-column 2, line 64 -column 3, line column 5, line 1 -column 6, line column 7, line 47 -column 7, line figures 6-10	22) 22 3	1-5, 10-12
X	WO 99 60397 A (UNIV WASHINGTON) 25 November 1999 (1999-11-25) page 2, line 1 -page 5, line 2 page 8, line 3 -page 8, line 11 page 10, line 7 -page 11, line 25 page 12, line 23 -page 13, line 13 page 14, line 12 -page 15, line 23 page 16, line 7 -page 19, line 8 figures 6,7,11-13		1-3,5, 13,14
Furt	ther documents are listed in the continuation of box C.	Patent family members are lis	sted in annex.
"A" document defining the general state of the art which is not considered to be of particular relevance  "E" earlier document but published on or after the international filing date  "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)  "O" document referring to an oral disclosure, use, exhibition or other means  "P" document published prior to the international filing date but		T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention  "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone  "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.  "&" document member of the same patent family	
Date of the	actual completion of the international search	Date of mailing of the International	al search report
1	17 June 2002		1 6, 10, 2002
Name and mailing address of the ISA  European Patent Office, P.B. 5818 Patentlaan 2  NL - 2280 HV Rijswijk  Tel. (+31-70) 340-2040, Tx. 31 651 epo ni,  Fax: (+31-70) 340-3016		Authorized officer Tiede, R	

#### INTERNATIONAL SEARCH REPORT

International application No. PCT/US 01/31333

Box I	Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)			
This International Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:				
	Claims Nos.: because they relate to subject matter not required to be searched by this Authority, namely:			
	Claims Nos.: because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful International Search can be carried out, specifically:			
	Claims Nos.: because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).			
Box II	Observations where unity of invention is lacking (Continuation of item 2 of first sheet)			
This Inter	national Searching Authority found multiple inventions in this international application, as follows:			
	see additional sheet.			
	As all required additional search fees were timely paid by the applicant, this International Search Report covers all searchable claims.			
2	As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.			
	As only some of the required additional search fees were timely paid by the applicant, this International Search Report covers only those claims for which fees were paid, specifically claims Nos.:			
4. X	No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.: $1-5,10-14$			
Remark (	on Protest  The additional search fees were accompanied by the applicant's protest.  No protest accompanied the payment of additional search fees.			

#### FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210

This International Searching Authority found multiple (groups of) inventions in this international application, as follows:

- 1. Claims: 1-5,10-14
  - 1.1. Claims: 1-3
    A multi-layer laminated substrate with inlet ports, a flow channel and an operative component, such as a reservoir
  - 1.2. Claim: 4
    A multi-layer laminated substrate with inlet ports, a flow channel and an operative component, the operative component is a light sensor
  - 1.3. Claim: 5
    A multi-layer laminated substrate with inlet ports, a flow channel and an operative component, the operative component is a ultrasonic actuator or transducer
  - 1.4. Claim: 10

    A multi-layer laminated substrate with inlet ports, a flow channel and an operative component, said substrate assembly further comprises an outlet port in fluid communication with the inlet port
  - 1.5. Claims: 11,12

    A multi-layer laminated substrate with inlet ports, a flow channel and an operative component, the operative component is at least one electronic memory unit mounted to the substrate and operatively connected thereto
  - 1.6. Claims: 13,14

    A multi-layer laminated substrate with at least one inlet port and one flow channel at each of more than one level within said substrate and at least one channel via extending between levels within said substrate
- 2. Claims: 6-8

A multi-layer laminated substrate with inlet ports, a flow channel and an operative component, the operative component is operative to generate fluid pressure in a flow channel

#### FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210

#### 3. Claim: 9

A multi-layer laminated substrate with inlet ports, a flow channel and an operative component, the operative component is operative to induce flow in a flow channel by endosmotically or electrochemical evolution of gases

#### 4. Claims: 15-20

A multi-layer laminated substrate with inlet ports, a flow channel, at least one layer formed of plastic and said assembly is operative and fluid tight at fluid pressures in the channel in excess of about 100 psi

#### 5. Claims: 20-26

A multi-layer laminated substrate with inlet ports, a flow channel at least one layer is formed of PEEK

#### 6. Claims: 27-35

A multi-layer laminated substrate with inlet ports, a flow channel and at least first and second layers are selectively welded to each other to form a fluid-tight seal at least along a channel within said substrate assembly.

A method of producing a multi-layer laminated substrate comprising the steps of forming a surface-to-surface interface between two substrates to form a substrate sub-assembly having an internal fluid channel at the interface, exposing the sub-assembly to radiation to heat only one or more selected portions of the interface to a temperature to weld the substrate components together, to form a fluid tight seal between the substrate components along the fluid channel

Please note that all inventions mentioned under item 1, although not necessarily linked by a common inventive concept, could be searched without effort justifying an additional fee.

#### INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No
PCT/US 01/31333

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
DE 3915920 A	22-11-1990	NONE	
WO 9960397 A	25-11-1999	AU 3771599 A CA 2320296 A EP 1046032 A	06-12-1999 25-11-1999 25-10-2000

# This Page is Inserted by IFW Indexing and Scanning Operations and is not part of the Official Record

#### **BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

BLACK BORDERS

IMAGE CUT OFF AT TOP, BOTTOM OR SIDES

FADED TEXT OR DRAWING

BLURRED OR ILLEGIBLE TEXT OR DRAWING

SKEWED/SLANTED IMAGES

COLOR OR BLACK AND WHITE PHOTOGRAPHS

GRAY SCALE DOCUMENTS

LINES OR MARKS ON ORIGINAL DOCUMENT

REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY

## IMAGES ARE BEST AVAILABLE COPY.

☐ OTHER:

As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.